



Regulatory Compliance
and KYC/AML



Regulatory Compliance,
Know Your Client and
Anti Money Laundering

A Summary of Our Services

www.ajollyconsulting.co.uk

In today's global landscape, navigating the realm of regulatory compliance has become exceedingly intricate. The international framework of regulations is becoming increasingly complex, posing significant challenges for companies striving to comprehend and effectively implement these mandates. Failure to comply not only exposes organisations to potential fines but also to public scrutiny and sanctions.

Amid this evolving regulatory environment, the cost associated with adhering to these regulations continues to escalate. AJC recognises the mounting pressures and understands the financial burden that comes with maintaining compliance. Our dedicated team specialises in offering incomprehensive support throughout the process.

We specialise in simplifying regulatory compliance by conducting detailed analyses, crafting customised strategies, and ensuring smooth control implementation. Our aim is to equip our clients with a clear understanding of regulations and effective measures for compliance. Additionally, we prioritise cost-effective solutions, enabling compliance without excessive financial strain.

Guidance,
Protection,
Peace of Mind.

How AJC Can Help

AJC helps clients from a broad range of organisations, in many different sectors, assess and manage risk, build resilience to maximise outcomes and together address the increasing complexity of regulatory compliance.

We are trusted by our clients to work with them to make important decisions quickly and proportionately. Our team of experienced professionals have the insights and expertise to deliver a solution that has meaning, substance and offers value for money.

Every situation is tackled with AJC's honest, clear and practical approach. We guide our clients with clarity and confidence, providing companies with the support they need to navigate this challenging terrain.

Total financial crime compliance costs for UK financial services are estimated at

£34.2 billion p.a.*

We provide organisations with comprehensive support across the compliance and KYC spectrum, **empowering them to navigate regulatory complexities** efficiently and effectively.



AJC's Compliance and Know Your Client (KYC) Services

We aim to alleviate the complexities surrounding regulatory compliance. We can assist by conducting thorough analyses, meticulously designing tailored strategies, and facilitating the seamless implementation of controls. Our goal is to ensure that companies not only understand the regulatory landscape but also have the appropriate measures in place to comply effectively.

AJC has extensive experience and knowledge in Compliance and KYC providing strategic and advisory services to organisations relating to:

- 1 Regulatory Analysis and Advisory Services:** We specialise in providing strategic guidance and advisory services to organisations, offering in-depth insights and analyses into regulatory landscapes.
- 2 Regulator Liaison Support:** Our team assists in fostering effective communication and engagement with regulatory bodies. We help organisations establish meaningful dialogues, ensuring clarity in compliance requirements and facilitating constructive interactions with regulators.
- 3 Implementation of Regulatory Advice:** We offer comprehensive regulatory advice, aiding organisations in implementing and aligning their operations with evolving regulations.
- 4 KYC Framework Design and Implementation:** Regular testing and updates to these plans are integral to our approach. This ensures that our strategies remain effective and adaptive in the face of evolving risks.
- 5 Completion of KYC Checks:** We streamline and manage the KYC process, overseeing the thorough completion of necessary checks and verifications. We ensure compliance with regulatory standards while facilitating a seamless customer onboarding experience.
- 6 Transactional Monitoring Rule Engine Configuration:** Our expertise extends to configuring sophisticated rule engines for transactional monitoring. We assist in setting up monitoring systems tailored to detect and flag suspicious activities, aligning with regulatory expectations.
- 7 Transactional Monitoring Management:** We can provide ongoing support in the management of transactional monitoring systems. We help organisations monitor and assess transactions, providing oversight and guidance to ensure compliance with regulatory requirements and best practices.



Our services are tailored to each individual business, aimed at delivering outstanding value and the appropriate, proportionate solution.

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